



## EFFICIENT IRIS IMAGE SEGMENTATION AND DETECTION SYSTEM FOR HUMAN IDENTIFICATION

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*Abstract*— Among the most efficient identity verification techniques is iris recognition. Many strategies to improve performance have been presented since J. Daughman's initial approach. We offer an overview of recent iris recognition research by classifying it into four categories: localization, segmentation, coding, and recognition. We'll go through the most recent developments and how they're helping to tackle challenges at each phase of the iris recognition process. Iris pattern recognition is the most accurate and reliable biometric technology available, surpassing fingerprint, face, and speech recognition. In highly protected settings where genuine identification is a must, iris pattern recognition serves a critical role. As a result, due to its great efficacy and dependability, iris pattern recognition is ranked first among all existing biometric technologies. The popular biometric recognition has also been accomplished by matching entire fingerprint images as well as face detection thus far at places such as airports, seaports, and also to control access by screening in receptive laboratories and factories, and therefore iris recognition system is much more efficient and provides better performance for the identification. Pattern recognition is used in iris recognition. The iris is a protective, easily decipherable organ with a distinct epigenetic pattern that remains fixed throughout adulthood. These properties make it much more dependable and usable as a biometric recognition system for identifying people. The iris pattern is saved as templates inside the system's databases reservoir, and the templates produced by screening a fresh iris are contrasted to those already stored there to achieve the identification. The numerous strategies for iris recognition are explored in this work.

*Index Terms*—Iris Detection, Bio-metric Identification, Pattern Recognition and Edge Detection, Edge, Canny, Sobel.

### I. INTRODUCTION

Biometrics is originated from the terms "bio" and "metric," which mean "life" and "measuring," respectively. It is the methodology to distinguish human behaviour in each individual. Biometrics is the topic of automatic identification based on physical or behavioural characteristics. The primary application of biometrics is security. Security has become increasingly vital in today's world. Iris pattern detection, which is part of the iris detection security system, is considered to be the most reliable technique for a person's identity. Because the human iris has a random texture and seems to be structurally stable over time, it can be used as a live passport or password that an individual does not need to carry or remember. Biometrics is a term that refers to measurements that are related to human traits and serve as a foundation for genuine authentication for identity and access control.

Biometrics is a term used to describe a means of identifying or authenticating a person based on specific characteristics that are unique to that person. Biometric identifiers, which are sets of distinct and measureable traits, are used to classify and describe individuals. Physiological and genetic identifiers are the two types of biological identifiers.

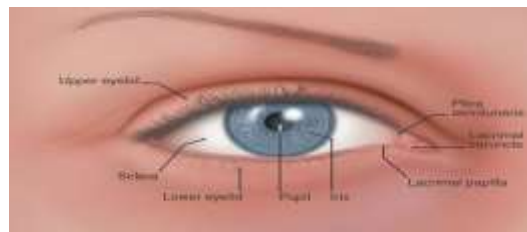
additional features of behaviour Physiological identities include iris, fingerprints, and DNA, whereas behavioural identifiers include typing rhythm, voice, and stride. A biometric system's operation begins with the capture of a sample of the feature, such as a digital colour image of the iris for iris detection or a capture of the sound signal for voice recognition. The sample can then be improved to extract the most discriminating features and to reduce noise in the samples by filtering. A sample is then turned into a biometric template using some sort of mathematical procedure. A biometric template is a standardised

and efficient description of the sample acquired, which can then be used to make comparisons. With biometric systems, there are two modes of operation. The first is an enrolling mode, in which fresh templates are introduced to the database, and the second is an identifying mode, wherein an individual's constructed template is compared to the templates already in the database. The usage of a highly distinctive trait is a criterion for being a good biometric. This reduces the likelihood of any two persons being matched as identical. The feature should also be stable over time so that it would not alter.

## II. DETECTION OF IRIS

Inside the eye, an iris is a narrow circular anatomical component. The iris works by contracting and dilatation to adjust the size of the pupil, allowing the amount of light reaching the eye to be controlled as needed. The intensity of light that enters the eye is controlled by adjusting the diameter of the pupil, which is done with the support of two sets of muscles, the sphincter as well as dilator muscles. The pupillary size shrinks or expands as a result of their contraction and relaxation, regulating the amount of light that enters the eye.

The iris is made up of two layers. The stroma at the front of the layer is comprised of fibro vascular tissue, while the pigmented epithelial cells in the second layer are composed of pigmented epithelial cells. The stroma is related to the sphincteric muscles that control pupil contractions and the dilator muscles that control pupil enlargement by pushing the iris in a radial way. The iris is separated into two areas: the first, "The Pupillary Zone," which is the pupil's boundaries, and the second, "The Ciliary Zone," which would be the remainder of the iris.



**Figure 1:** The Human Iris from the Front

The iris is an interior component that is outwardly apparent and is well secured by a clear layer termed as the cornea. The epigenetic patterning of the iris are quite distinct and do not alter over time. This feature of its exclusivity, as well as its resistance to structural change during a person's life, makes it a perfect biometrics for accurately identifying. These distinct patterns can be retrieved using procedures on a digital image of the eye, and afterwards transcribed into a biometric template that can be kept in a database for further comparisons. A computational operation is frequently used to build the biometric template. If a person wants to be recognised by the system, a digitised image of their eye is made first, followed by a biometric template covering their iris area. The biometric template is matched to pre-existing biometric templates in the system's database's reservoirs. The adoption of particular matching techniques paves the path for an individual's identifying.

## III. LITERATURE REPORT

Iris pattern identification is a biometric method of verifying and identifying a person. Various biometrics includes retinal, face, and fingerprint biometrics, which take into account other biological characteristics when identifying a person. All of these biometric technologies will be used to develop unique solutions for detecting, authenticating, and addressing security risks in sensitive locations.

The premise that no identical irises are alike was realised through the hypothetical design of an autonomous iris biometric system, whom Flom and Safir trademarked in 1987.

Daugman established a common reference model for iris biometrics in his early writings, making him a pioneer in the field. Integro-Differential operators are used to determine the iris's centre and diameter.



Following that, image is transformed from Cartesian to polar coordinates, resulting in a rectangle representations of the area of interest. Iris codes are created using 2D Gabor wavelets and a feature extraction process, then matched using a comparison approach [Daugman 2004]. The algorithm achieves a precision of more than 99.99 percent, and iris identification takes just under a second. Tan et al.[4] conducted a comparison of several approaches and iris detection strategies. The iris is then localised by first determining the pupillary centre and radius, and then using the Canny operator and the Hough transform to precisely pinpoint the iris boundary. As a result, the iris picture is transformed into dimensionless polar coordinates and processed using a Gabor filter version. The dimensionality of the signature is lowered by using the Fischer linear discriminant.

The edge detecting method is used to determine the centre of the pupil utilizing an algorithm developed by Boles and Boashash[6]. Gray level values on virtual concentric rings are recorded, and now a zero crossing representations relying on a one-dimensional dynamical wavelet transform is generated on these virtual rings. By rescaling the photos to a similar iris diameter, the associated virtual circles of distinct images can be determined. Then, for the purposes of matching, two heterogeneity functions are built, one that uses each point of representations and the other that only uses zero crossing points. The technique was successfully evaluated on a small dataset of iris images, both with and with no noise, in a tiny database.

Regarding feature extraction, Zhu et al. [7] employed Gabor filters and the 2D wavelet transform. Weighted Euclidean distance categorization was employed for identification. This approach is tolerant of illumination and invariant to translation and rotation. Gabor has a classification performance of 98.3 percent, and wavelet has an accuracy rate of 82.51 percent. According to Lim et al., various techniques to the both feature extraction as well as matching have been offered after a typical iris localization and converting to polar coordinates with regard to the pupil centre.

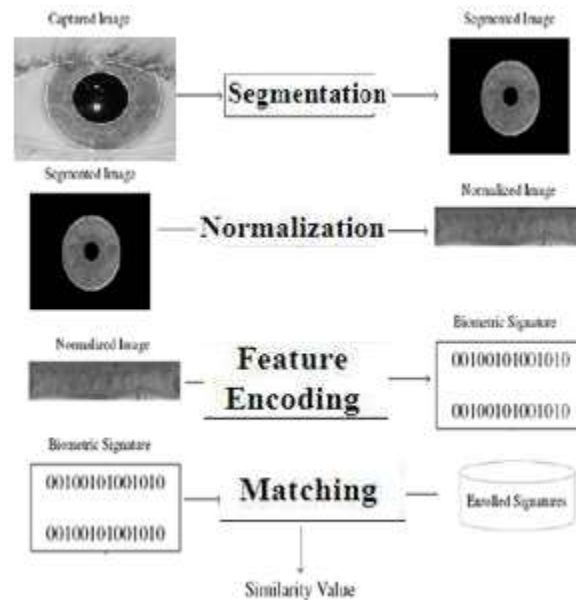
Regarding feature extraction, a comparative of the Gabor transform as well as the Haar wavelet transform is made, with the results indicating that the Haar transform is superior. The Haar transform uses only 85 bits to preserve an iris pattern, compared to Daugman's method, which needs 2048 (2K) bits. The matching procedure employs an LVQ competitive learning neural network, which really is fine-tuned by carefully picking the initial weight vectors. The experiment's findings are shown in [8], which are predicated on a database of 200+ people's iris images.

The pre-processing phase is a normal one. Edge detection is achieved utilizing the Canny edge detector technique, but every image of the iris is translated into standardised polar coordinates with regard to the pupil centre, as suggested by Du, et al.[3]. The feature extraction phase differs from the ones discussed previously. This is also straightforward to put into practise. Authors compare the luminance of a single pixel to the average brightness for a small adjacent rectangular area using a grey scale invariant described as Local Texture Pattern (LTP). The LTP is averaged in a certain method to obtain the constituents of a rotating invariant vector. As a result, this approach does a loss projections from 2D to 1D. The elements of this vector are then normalised to equal one. The "Du measure," which is the combination of two measures, one depending on the tangent of the angle amongst two matrices  $p$  and  $q$ , and the other depending on comparative entropy of  $q$  with regard to  $p$ , also referred as Kullback – Liebler distance, is used in the matching method. Du[8] published a work in the environment of hyperspectral imaging to show that the Du measurement is more sensitive than either of those two measures.

This phase is critical in iris detection because iris features could be utilized for detection until the iris region has been accurately localised and segmented. Iris localisation can be done in a variety of ways. Daugman's integro-differential operators [4] and Wildes' Hough transform [12] are two methods for iris localisation. The mapping of the segmentation iris region into an uniform length and non - dimensional polar coordinate process is accomplished to compensate for variations in pupillary size and picture capturing distances[13].

#### IV. PROPOSED METHOD

Image processing approach is used for biometric verification of automotive drivers using an iris detection system. A unique iris pattern is extracted from a digital image of the eye using image processing techniques and encoded into such a biometric template that may be kept in a database. Whenever an iris detection method recognises a driver, their eye is captured and a pattern for their iris area is constructed. The driver is recognised, or no matching is achieved, after this template is contrasted to other templates recorded in a database to determine the best matched template.



**Figure 2:** Stages of the Iris Detection Systems

The processes for performing iris detection are summarised in Figure 2.

**Step (i):** Iris Image Acquiring is the first stage of automated iris recognition, and it is among the most difficult tasks because we need to take a high-quality images of the iris.

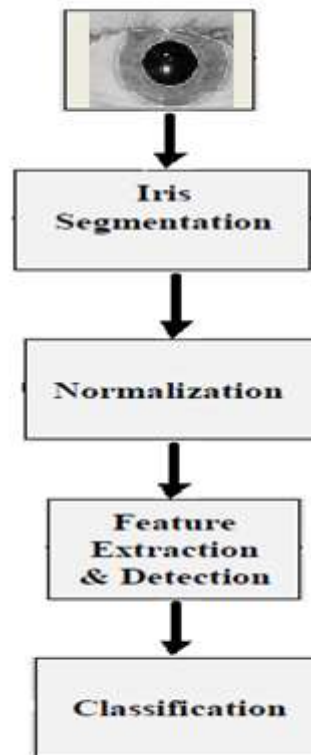
**Step (ii):** Iris localisation is the procedure of detecting the iris's and pupil's edges and extraction the iris region.

**Step (iii):** Iris Normalization is utilized to convert the iris area to fixed dimensions, eliminating dimensional discrepancies amongst eye images created by iris stretching produced by pupil dilation at different levels of luminance.

**Step (iv):** A rectangle zone is created by exposing the normalised iris region.

**Step (v):** Ultimately, extract the greatest distinguishing characteristic in the iris pattern so that a template comparisons can be made. As a result, the resulting iris area is encoded utilizing wavelets to produce the iris code, allowing a decision to be made in the matched step.

The iris vision based methodology is presented, and the system procedures are depicted in the diagram below.



**Figure 3:** Methodology Flowchart

- **Stage of Iris Image Acquisition**

Since the image quality obtained of the iris determines the qualitative achievement of the extraction step, a high-quality 3CCD cameras is situated around 9cm away from the user's eye to collect a high-quality image. The distance between the recipient's eye and the light source is roughly 12cm.

- **Stage of Pre-processing**

The CASIA Iris Image Resource is the most comprehensive and commonly utilised iris image database accessible to iris researchers.

The suggested technique makes use of the CASIA iris image database version 1, which was acquired by the Chinese Academy of Sciences' Institute of Automation. It employs a unique camera that captures light in the infrared range, which is invisible to the naked eye. 320X280 pixel grey scale images are captured using a digital optical scanner built by the National Laboratory of Pattern Recognition (NLPR), a Chinese Science Academy. These are 108 classes accessible out of a possible of 756 iris images[14].

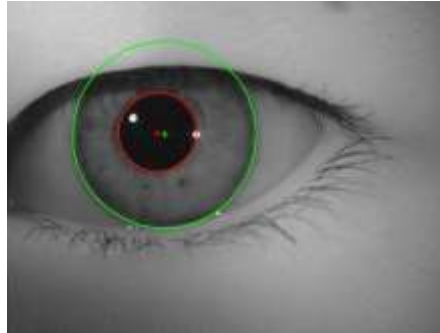
The iris is surrounded by non-relevant areas such as the pupil, sclera, or eyelids, as well as noise created by eyelashes and nearby skin. The distortion from the iris images must be eliminated in order for the detection to be accurate.

- **Segmentation stage**

The initial step in iris detecting the iris region in a digitised eye image. The iris area is thought to be composed of two rings, with one forming the iris/sclera border and the other forming the iris/pupil border. Eyelids as well as eyelashes comprise the upper and lower parts of the iris regions. Specular reflections might well be found within the iris area, that could cause the iris pattern to be corrupted, thus the approach must be able to eliminate these sounds and locate the circular iris region [15].



The effectiveness of the segmentation procedure is determined by the data set employed. The segmentation process is influenced by images obtained in a location with specular reflection. Unless the eyelids or eyelashes conceal a significant portion of the iris, the segmentation procedure may fail. The segmentation procedure is crucial because inaccurate data localisation might result in extremely low detection rates. To localise the iris, a simple mix of Gaussian filtering, Canny edge detection, as well as Hough transform can be used to improve iris segmentation speed. The Hough transform is used to determine the radius and centre of the pupil and iris circles. As illustrated in the graphic below, the Canny edge detection operators recognises the boundaries in an iris image and is the finest edge operator in MATLAB:



**Figure 4:** Segmented Image

- **Canny Edge Detection**

Edge detection can be done in a variety of ways, but one of the most effective is "Canny edge detection." It takes a grayscale image and generates a binary map that corresponds to the edges found. It starts with a blur operation and then builds a gradient map for each image pixel. A non-maximal suppressing stage sets a value of 0 for all the pixels of the gradient map that have neighbours with higher gradient values. In order to categorise part of the pixels as edge or non-edge, the hysteresis method requires two pre-defined nature values. Edges are recursively extended to pixels that are neighbours of other edges and have a gradient amplitude greater than a lower threshold in the final step. Canny edge detection yields the following assertions:

**Upper threshold value:** It is a hysteresis operation feature that is used to set the larger levels within the gradient map and as such the high levels of the map are treated as non-edge points [16].

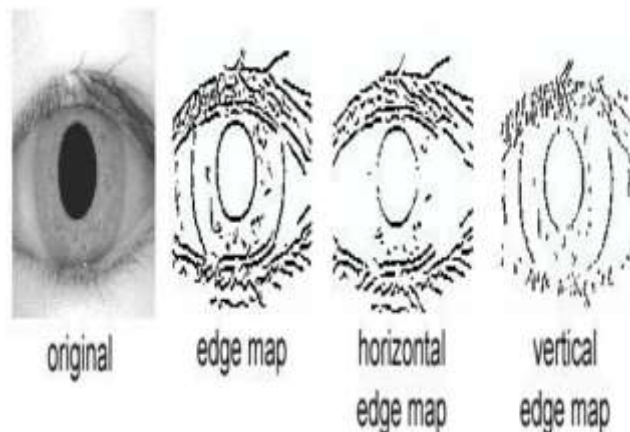
**Lower Threshold value:** This seems to be a hysteresis operation parameter, indicating that pixels containing gradient values less than this are not considered edge points.

**Sigma of the Gaussian Kernel:** The bi-dimensional Gaussian kernel's deviation is defined by this parameter. Larger value enhance the blur operator's power, resulting in fewer identified edges.

**Vertical Edges Weight:** In the gradient mapping construction, this is utilised to weigh the vertically derivatives. It is scaled by the vertically derivative value and is normally in the  $[0, 1]$  interval.

**Horizontal Edges Weight:** In terms of horizontally derivatives, it's really the corresponding. It's worth noting that the total of vertical and horizontal weights must equal one.

**Scaling Factor:** This factor was used to reduce the size of an image in order to reduce the amount of edge points.



**Figure 5:** Canny edge detecting applied to an eye image

• **Sobel Operator**

A 2D spatial gradient measuring of an image is achieved using this technique. It also draws attention to locations with a high spatial frequency and that corresponds to edges. This operator is utilized to calculate the approximated absolute gradient in a grayscale image input. Fundamentally, the operator is made up of a pair of 3X3 convolution masks, as seen in the diagram. When one mask is rotated 90 degrees, the second mask appears. It bears a striking resemblance to Robert's cross operator. The responsiveness of these masks is optimised for vertical and horizontal edges in relation to the pixel grid. Each one of the two non - parallel orientations has its own mask. Distinct masks can be applied to the input image in order to produce separate observations of gradient constituents in each orientation, i.e.  $G_x$  and  $G_y$ . The absolute magnitude of the gradient at each location in relation to the gradient's rotation is added together to yield the following expression.

$$|G| = \sqrt{G_x^2} + \sqrt{G_y^2} \tag{1}$$

The estimated magnitude is provided through employing this mask:

$$|G| = |H1-H4| + |H2-H3| \tag{2}$$

$$\frac{\partial y}{\partial x} = y(i, j) - y(i + 1, j + 1) \tag{3}$$

$$\frac{\partial y}{\partial x} = y(i + 1, j) - y(i, j + 1) \tag{4}$$

• **Normalization**

After effectively segmenting the eye image, the following step is to convert the iris portion of the image to fixed dimensions such that the feature extraction procedure can contrast two images. The production of dimensional discrepancies in an eye image is caused by pupil dilation. Dilation of both the pupil is caused by shifting levels of luminance falling on the eye. Changes in imaging ranges, movement of the camera, twisting of the head, and movement of the eye inside the eye socket are all sources of inconsistency. The iris area is predicted to have consistent dimensions by the normalising method in such a way that two images with same iris obtained at different circumstances and times will have characteristic characteristics at the same positions that are identical to one another.

**V. RESULT AND ANALYSIS**

The automatic model was used to actualize the segmentation procedure. The images in the CASIA collection were acquired specifically for the purpose of doing iris detection studies, thus the distinctions between both the pupil, iris, as well as sclera are clear. Whenever this segmentation algorithm is used on the CASIA database, it has an 80 percent success rate.

The False Reject Rate (FRR) is a metric that evaluates the likelihood that a person who has enlisted in the system will not be detected by the system. It happens when the system states the sample doesn't match all of the other gallery categories, but the sample is actually from one of the records.

The fraction of events where HD exceeds a particular threshold is used to determine the legitimacy of a legitimate attempt. Type-I error refers to the rate at which a matching technique fails to obtain a result by matching an enlisted sample.

FRR is calculated as under:

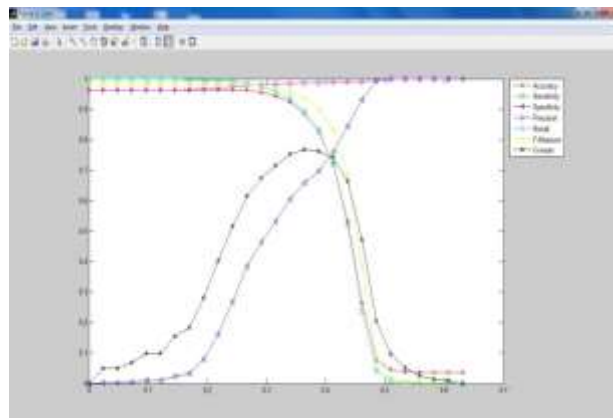
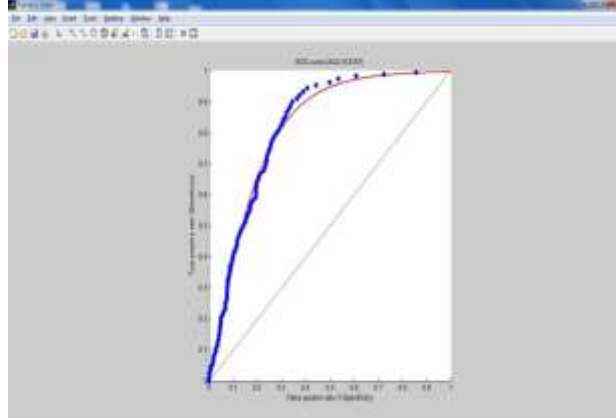
$$FRR(n) = \frac{\text{Number of rejected verification attempts for a qualified individual } n}{\text{Total number of verification attempts for that qualified individual } n}$$

$$FRR = \frac{1}{N} \sum_{n=1}^N FRR(n)$$

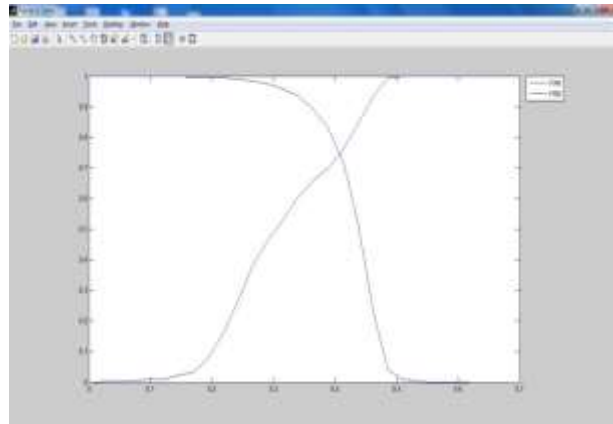
The overall number of enrolments is denoted by the 'n.'



**Figure 6:** Canny Edge Detection of IRIS Detection



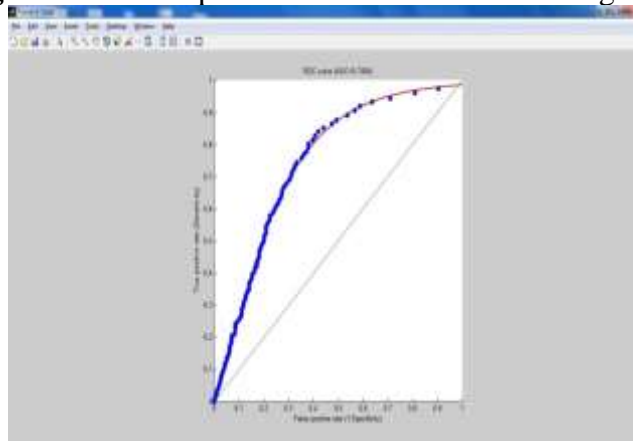


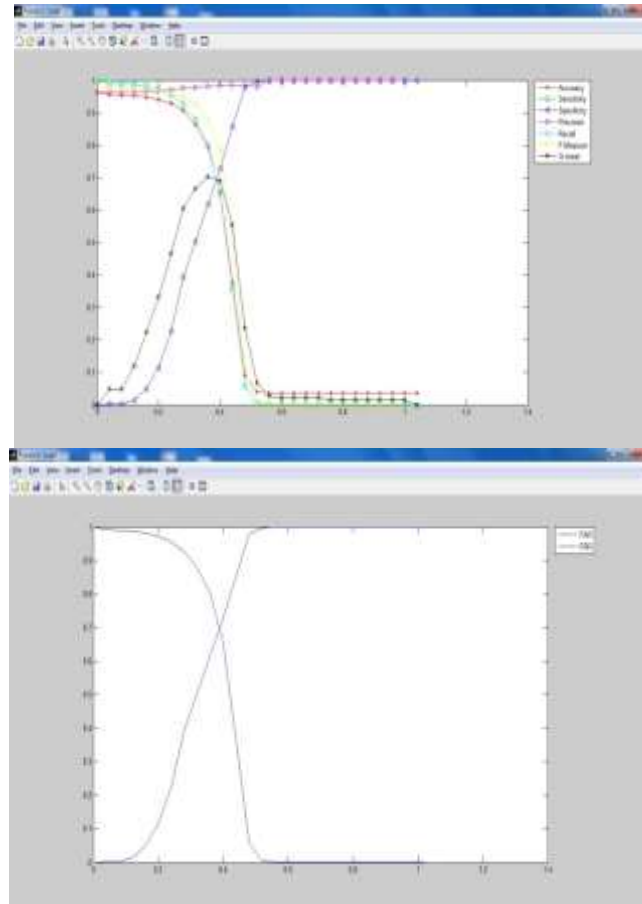


**Figure 7:** Canny Edge Detection Graphs of IRIS Detection



**Figure 8:** Sobel Operator Detection of IRIS Recognition





**Figure 9:** Sobel operator detector Graphs of IRIS Detection

**Table 1:** Canny and Sobel Detection using Various Parameters Compared

	Accuracy	Sensitivity	Specificity	Precision	Recall	F-Measure	G-mean
Canny Detection	0.036696	0.000092	1	1	0.000092	0.00018399	0.0095919
Sobel Detection	0.036968	0.00027543	1	1	0.0002754	0.00055071	0.016596

## VI. CONCLUSION

The designed iris detection system has been proven to be an extremely accurate and effective biometric identification system.

Based on previous testing, it has been proven beyond a shadow of a question that the iris detection biometric approach is the most accurate method for identifying an individuals. The precision of the iris image could be improved by employing more steady equipment and settings for taking the image of the iris. Iris image biometric technique is used in a variety of places and for a variety of purposes, and that is most frequently accepted in places wherein security is a concern, such as airports, defence institutions, and other sensitive regions, to prevent the entry of someone with a forged identity. From this research, we may deduce which strategy is the most effective, and we can apply it to improve accuracy and performance.

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