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### A MULTI-POPULATION-BASED DIFFERENTIAL EVOLUTION ALGORITHM DEVELOPED TO OPTIMIZE ECONOMIC LOAD DISPATCH ISSUES WITH VALVE-POINT EFFECTS

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### **ABSTRACT:**

The valve-point effects of generation units in the economic dispatch (ED) problem make it a nonsmooth and non-convex problem. This work offers an algorithm for differential evolution based on multi-population (MPDE) to handle by consideration of valve-point effects in economic load dispatch problems. Negative aspects of the conventional differential evolution algorithm are overcome by the suggested MPDE algorithm, which uses the evolutionary methods of multiple populations. Each set of populations in a multiple population has its own parameters and mutation method to improve the capacity for searching. Additionally, information sharing between various populations can boost the diversity of unique individuals within a single population. Furthermore, the technique comprises the normal distribution function to dynamically modify the scaling factor and crossover rate, hence expediting the rate of convergence. Tests of the suggested approach are conducted using the IEEE 13 unit test systems. The MPDE algorithm can achieve far fewer variations than other intelligent algorithms, according to simulation data. When it comes to solving economic dispatch problems involving valve-point effects, the proposed algorithm performs noticeably better in terms of accuracy.

Keywords—Differential Evolutions, Multi-Populations, Valve Point Effects, Economic Load Dispatch(ELD) Problems.

### **INTRODUCTION:**

One of the most significant problems in modern computer-aided power system design is Economic Load Dispatch (ELD). The ELD problem focuses on how to distribute load among the committed producing units while meeting capacity and power balance requirements and reducing overall operating costs.[1]. The ELD problem aims to decrease the cost of power generation while optimizing the output power of each unit. Numerous measures and studies have been implemented to achieve significant cost reductions in operations.

In accordance with valve-point effects [8], the generation unit's characteristic curve deviates from linearity. Furthermore, the power system contains a large number of power producing units, which might make calculations more challenging and more likely to result in local optimal solutions. Certain mathematical techniques, such as the linear programming algorithm (LP) [9], quadratic programming algorithm (QP) [10], and dynamic programming algorithm (DP) [11], cannot effectively solve ELD problems due to their numerous non-linearity, non-convexity, and multi-dimensionality. Many researchers concentrate on heuristic intelligence optimization algorithms, such as simulated ecosystem algorithms, evolutionary algorithms that mimic the evolution of biological organisms, and swarm intelligence algorithms that mimic the behavior of biological swarms, in an effort to get around the drawbacks of traditional mathematical techniques.

The Chebyshev polynomial fitting problem led Rainer Storn and Kenneth Price to create the differential evolution algorithm (DE), an intelligent optimization method that mimics the evolution



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of natural organisms. Due to its powerful search capability, the DE algorithm has been enhanced by numerous researchers[12]. The multi-population differential evolution algorithm (MPDE) proposed in this research utilizes distinct mutation methods for each population. The following is a list of this paper's key contributions in comparison to previous research:

1) A plan for many populations is suggested. Various combinations of factors and mutation procedures within each population will result in distinct search features. The multi-population approach deftly blends several mutation tactics to improve search performance.

2) A population-level learning technique was created.

In order to maximize individual diversity within a single population and prevent it from settling on a local optimal solution, this technique encourages information transmission between populations.

3) The scaling factor and crossover rate are dynamically adjusted using the normal distribution function to quicken the rate of convergence.

4) The MPDE algorithm can converge to the ideal value and has a lower standard deviation in the test of 13 unit test systems.

The structure of this paper is as follows: The conventional differential evolution algorithm is explained in Section II. The MPDE algorithm is proposed in Section III. The MPDE algorithm is used in Section IV to solve the ED problem. The analysis and results of the simulation are covered in Section V. Section VI provides a summary of this work's conclusion.

### II. CONVENTIONAL DE ALGORITHM

Initialization, mutation operation, crossover operation, and selection operation are the four primary operational operations of the DE algorithm.

A. Initialization

The population consists of several individuals, each of whom can be viewed as a potential solution in the search space. In the event that the population contains  $I_N$  members, the population can be represented as follows:

$$N = \{y_m^r | y_m^r = (y_{m,1}^r, y_{m,2}^r, y_{m,3}^r, y_{m,4}^r, \dots, y_{m,D}^r)^R\}, m = 1, 2, 3, \dots, I_N$$

Where D is the number of dimensions of the individual vector,  $\mathcal{Y}_m^r$  is the m-th individual vector, N<sup>R</sup> is the population at the r-th generation, and r is the current number of evolutions.

The technique generates the initial answers using a uniformly distributed random function in

order to cover the whole search space as much as feasible for the beginning population.  $\mathcal{Y}_{m,n}^{0}$  is computed in this way:

$$y_{m,n}^{0} = y_{n}^{\min} + rand(0,1) \times (y_{n}^{\max} - y_{n}^{\min})$$

Where (0,1) rand is a uniformly distributed random number in the interval (0,1),  $y_n^{\text{max}}$  is the maximum boundary value of the n-th dimension of the individual,  $y_n^{\text{min}}$  is the minimum boundary



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value of the n-th dimension of the individual and finally  $y_{m,n}^0$  is the value in the n-th dimension of the individual m.

B. Operation for Mutation

Through mutation operation, the differential evolution algorithm preserves the population's diversity. The following lists the most popular DE mutation techniques [13,14]:

DE/Rand/1:

$$v_{m}^{t} = x_{r_{1}}^{t} + F_{m}^{t} \times (x_{r_{2}}^{t} - x_{r_{3}}^{t})$$
 (3)

DE/Rand/2:

$$v_m^{\ t} = x_{r_1}^t + F_m^t \times (x_{r_2}^t - x_{r_3}^t) + F_m^t \times (x_{r_4}^t - x_{r_5}^t)$$
(4)

DE/Best/1:

$$v_m^{\ t} = x_{best}^t + F_m^t \times (x_{r_1}^t - x_{r_2}^t)$$
(5)

DE/Best/2:

$$v_m^t = x_{best}^t + F_m^t \times (x_{r_1}^t - x_{r_2}^t) + F_m^t \times (x_{r_3}^t - x_{r_4}^t)$$
<sup>(6)</sup>

DE/current to best/1:

$$v_m^t = x_m^t + F_m^t \times (x_{best}^t - x_m^t) + F_m^t \times (x_{r_1}^t - x_{r_2}^t)$$
<sup>(7)</sup>

DE/rand to best/1:

$$v_m^t = x_{r_1}^t + F_m^t \times (x_{best}^t - x_{r_1}^t) + F_m^t \times (x_{r_2}^t - x_{r_3}^t)$$
(8)

C. Crossover Operation

The DE algorithm carries out the crossover operation based on  $x_m^t$ , whereas t generates t through mutation operation and t to produce the trial vector t. Examine the random number and the crossover rate to produce each trial vector dimension. The equation for updating is provided by:

$$u_{m,n}^{t} = \begin{cases} v_{m,n}^{t} & \text{If } rand(0,1) \le CR_{m}^{t} \text{ or } n = n_{rand} \\ x_{m,n}^{t} & \text{Otherwise} \end{cases}$$
(9)

where (0,1) *rand* is a uniformly distributed random number in the interval (0,1);  $n_{rand}$  is a random integer in the interval [1, ] D;  $CR_m^t$  is the crossover rate of individual m at the t-th generation. D. Selection Operation

The selection process is carried out by the DE algorithm using the greedy selection strategy. The vector with the higher fitness value is chosen as the next generation of individuals after comparing the values of the fitness function corresponding to the  $x_m^t$  and  $v_m^t$ . Consequently, the following is a definition of the selection operation:





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$$x_m^{t+1} = \begin{cases} u_m^t & f(u_m^t) \le f(x_m^t) \\ x_m^t & \text{Otherwise} \end{cases}$$
(10)

## **III. MPDE ALGORITHM:**

This work proposes a multi-population (MPDE)-based differential evolution algorithm that outperforms the conventional DE algorithm in two ways.



FIGURE 1. The schematic diagram of the MPDE algorithm

A. MULTI POPULATION CO-EVOLUTION

Multi-population co-evolution is the fundamental component of the MPDE method. For the DE algorithm, a multi-population approach is developed in this research, with distinct mutation techniques used by each population. Multiple populations can share knowledge and learn from one another, increasing the diversity of the single population.

B. DYNAMICALLY ADJUST ALGORITHM PARAMETER

The DE algorithm's global search capability and convergence speed are significantly impacted by the scaling factor and crossover rate. The command of the diversity of parameters in the standard DE algorithm is somewhat low, and the effect of fixed parameters is not very effective for certain special issues because the parameters are chosen from pre-set values. The control parameters are changed from fixed to dynamic in this paper's proposed method of dynamic parameter adjustment.



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# IV. APPLICATION OF MPDE ALGORITHM

The MPDE algorithm is proposed in section III. This section presents the use of the MPDE algorithm for ED issue solving. Among its primary contents is the goal function, limitations, and handling of the ED problem. Furthermore, the precise procedures for using the MPDE algorithm to solve the ED problem are presented.

The ED's goal is to minimize the overall cost of power generation by optimizing the generator unit's power output while meeting the power system's limits. When taking valve-point effects into account, the cost function can be expressed as follows:

- A. CONSTRAINTS:
  - 1. Unit Power In equality constraints :

$$P_{j}^{\max} \geq P_{j} \geq P_{\leq j}^{\min} \quad (11)$$

2. Ramp Rate Constraints :

$$p_j - p_j^0 \le UR_j$$
 and  $p_j^0 - p_j \le DR_j$  (12)

3. Prohibited Operating zone constraints:

$$P_{j} \in \begin{cases} P_{j}^{\min} \leq P_{j} \leq P_{j,l}^{l} \\ P_{j,k-1}^{u} \leq P_{j} \leq P_{j,k}^{l}, k = 2, 3, ..., g_{j} \\ P_{j,g_{j}}^{u} \leq P_{j} \leq P_{j}^{\max} \end{cases}$$
(13)

4. System power Equality Constraints:

$$\sum_{j=1}^{M} P_j = P_D + P_{Loss}$$

$$P_{Loss} = \sum_{j=1}^{M} \sum_{i=1}^{M} P_j B_{ji} P_i + \sum_{j=1}^{M} B_{0j} P_j + B_{00}$$
(14)

### B. CONSTRAINTS HANDLING:

1. INEQUALITY RAMP RATE CONSTRAINT HANDLING

Following the crossover operation, the algorithm may produce a new individual vector that does not meet the criteria of inequality and ramp-rate. When this occurs, the altered each generating unit's output power is computed as follows:

$$P_{j} = \begin{cases} \max(P_{j}^{\min}, P_{j}^{0} - DR_{j}) \ P_{j} \le \max(P_{j}^{\min}, P_{j}^{0} - DR_{j}) \\ \min(P_{j}^{\max}, P_{j}^{0} + UR_{j}) \ P_{j} \ge \min(P_{j}^{\max}, P_{j}^{0} + UR_{j}) \\ P_{j} & \text{Otherwise} \end{cases}$$
(15)

### 2. PROHIBITED OPERATING ZONE CONTRAINT HANDLING

The output power of each generator unit is modified as follows if the generator units of the new individual vector produced by the algorithm are located in areas where operation is prohibited:



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$$P_{j} = \begin{cases} P_{j,k}^{l} & \text{If}(P_{j} - P_{j,k}^{l}) \leq (P_{j,k}^{u} - P_{j}) \\ P_{j,k}^{u} & \text{Otherwise} \end{cases}$$
(16)

3. Equality constraint Handling

In this manner, the generator unit can be adjusted to meet equality criteria while also reducing the influence caused by output power variance and altering the generator unit's output power less. The following is an analysis of the particular steps:

Step-1:

If violates formula(24)or satisfies formula(25), set the transition variable, else  $T_j = 0$   $T_P = T_j$ . Step-2 :

Calculate the difference  $\Delta$  between the current total output and the demand output.

$$\Delta = \sum_{j=1}^{M} P_j - P_D - P_{Loss} \quad (17)$$

Step-3 :

Modify the output of  $P_j$  in order to satisfy the equality constraints (26) with following formula :

$$P_j = P_j - \Delta \times \frac{T_j}{\sum_{j=1}^M T_j} \quad (18)$$

Step-4 :

Check all the modified  $P_j$ , if there is any violation of the inequality constraints, Perform Formula (15)and (16),back to step 1.

C. STEPS OF APPLYING MPDE ALGORITHMS TO ED PROBLEMS:





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FIGURE2. The flow chart of MPDE algorithm in solving ED problem

## V. SIMULATION AND RESULT ANALYSIS:

To verify the all-round performance of the improved algorithm, we test here cases of 13 unit test systems using this algorithm. All the cases are coded in C++ and implemented in Visual Studio 2013, which is tested on a PC with an Intel i5 2.3GHz processor, 4GB of RAM and Windows 10 Professional, each case runs 50 times independently, and we compare them with the results of other intelligent algorithms.

А.	Setting Algorithm Parameter:	
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population	□min	max	□min	max
pop1	0.7	1	0.1	0.6
pop2	0	0.3	0	0.6
<i>pop</i> 3	0.6	0.9	0	0.6

Table -1 : The parameters of the MPDE algorithm for solving the unit ED problems

Cases	Case1	Case2	Case3	Case4	Case5	Case6
M	13	13	40	40	80	140
VPE	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$
TL	Х	$\checkmark$	Х	$\checkmark$	Х	Х
POZs	Х	Х	Х	Х	$\checkmark$	Х
RRL	Х	Х	Х	Х	Х	$\checkmark$
$P_D$ (MW)	1800	2520	10500	10500	21000	49342
NP	90	90	240	240	240	240
t <sub>max</sub>	12000	10000	10000	10000	20000	20000

Table – 2: Input parameters and the brief introduction to test cases.

B. For 13 – Unit Test System :

One example of the cases in 13- unit test system, which includes the valve-point effects and its load demand is 1800 MW. Two sets of data are used for fuel consumption cost coefficients. In this case, One set of the data of fuel consumption cost coefficients and generation limits were referred from [44]. The Second set, DataSet2 (13-unit), for fuel consumption cost coefficients and generations limits refer to [4]. The difference between them is the E fuel consumption cost coefficient of 3-th unit. Case 1 was run independently for 50 times with the MPDE algorithm. Figure 4 shows the convergence characteristics of the MPDE algorithm when solving case 1. Table III shows the output of each generator unit at the

Lowest total generation cost with the different fuel consumption cost coefficients.

Unit	DataSet1(13-u	DataSet2(13-	
		unit)	
	Output (MW)	Output (MW)	
1#	628.3185307	628.3185307	
2#	149.5996502	222.7490688	



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3#	222.7490688	149.5996502
4#	109.8665501	109.8665501
5#	109.8665501	109.8665501
6#	109.8665501	109.8665501
7#	109.8665501	109.8665501
8#	109.8665501	109.8665501
9#	60	60
10#	40	40
11#	40	40
12#	55	55
13#	55	55
Load (MW)	1800	1800
Cost(\$/h)	<u>17960.366122</u>	<u>17963.829201</u>

Table 3 : Output power of the generator for the best result for case 1



Figure 3 : The convergence characteristics for 13 Unit Bus System

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ABC [49]	17962.4279	-	_	—	_	_
CDEMD [45]	17961.944	18061.411	17974.686	20.3066	12.6	25*1000
			9			
MDE [32]	17960.39	17969.09	17967.19	_	—	80*3500
HCR-DE [34]	17960.38	17961.04	17960.59	0.069	4.91	26*100
IPSO-TVAC [50]	17960.3703	17961.273	17960.641	_	_	100*200
ICA-PSO [51]	17960.37	17978.14	1797.94	_	_	_
SDE [37]	17960.37	_	_	_	_	60*300
THS $(t=2s)$ [52]	17960.37	_	17982.98	_	_	100*5000
THS $(t=5s)$ [52]	17960.37	_	17985.15	_	_	100*5000
THS $(t=8s)$ [52]	17960.37	_	17977.60.	_	_	100*5000
IDE [36]	17960.3661	17969.4857	17961.471	2.6499	7.535	150*3000
	1,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	1,200,000,	7		,	100 0000
HIS [47]	17960.3661	17971.6512	17965 415	16.9531	_	22500
	1,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	173710012	2	1000001		
CSOMA [46]	17960.3661	17970.8323	17967.870	0.8858	_	25*1000
	17900.3001	17970.0323	8	0.0020		20 1000
DHS [53]	17960 3661	17968 3610	17961 122	1 92	0.12	50*1200
DIIS[55]	17900.3001	17900.5010	6	1.72	0.12	50 1200
MPDF	17960 3661	17960 5044	17960 371	0.027	3.0	90*12000
	17900.3001	17900.5011	6	0.027	5.0	90 12000
DataSet2(13-unit)			0			
CEP [54]	18048 21	18190 32	18404 04	_	_	
IFFP [54]	17994 07	18267 42	18127.06	_	_	_
FP-SOP [55]	17991.07	-	18106.93	_	121 93	100*100
NDS [56]	17076.05	17076 05	17076.05		1 5634	100 100
CGA MU[57]	17970.95	1/9/0.95	17970.95	-	27.01	—
	17072 81	-	-	—	27.91	-
	17972.01	16243.12	10000.07	_	-	100*000
PSO-SQP [55]	1/909.95	_	18029.99	_	33.97 22.10	100*100
	1/905.15	_	18022.04	_	22.19	_
FCASO-SQP [59]	1/964.08	—	18001.96		19.62	_
IGA_MU [5/]	1/963.9848	—	-	-	8.27	—
ST-HDE [37]	17963.89	_	18046.38	_	1.41	NA*2500
CE-SQP [60]	17963.85	_	17965.97	-	34.33	—
FAPSO-NM [61]	17963.84	17964.21	17963.957	-	6.8	26*300
			7			
NSEO [62]	17963.8346	18186.9043	18052.719	32.29	0.16	_
			1			
CBA [18]	17963.83	17995.2256	17965.488	6.8473	0.97	40*300
			9			
DE [5]	17963.83	17975.36	17965.48	_	_	78*1200
UHGA [63]	17963.83	_	17988.04	_	8.48	28*30
BA [61]	17963.83	18288	18085.06	_	_	78*1200
MsEBBO [23]	17963.8292	17969.0323	17964.046	1.9215	_	80*1000
			8			
MABC [64]	17963.8292	17963.8305	17963.829	0.0002	38.2	12*18000
L  J			3			
FAPSO-VDE [35]	17963.8292	17963.832	17963.828	_	4.1	26*100
						0



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			4			
MPDE	17963.8292	17963.8292	17963.829 2	0	3.0	90*12000

#### **V. CONCLUSION**

Here we present an ED problem for the same with valve point effects, but using differential evolution as its basis and also showing a multi-population based on this algorithm. In order to create MPDE, different mutations or parameters must be present in each MPDEA as it evolves. An essential component is present in MPDE. Because information flow can be carried between and around any single population of differential evolved populations, the algorithm's ability to learn solutions from an individual difference is much faster than traditional Differential Evolution Algorithm. Moreover, the normal distribution function in MPDE algorithm is used to dynamically change the scaling factor and crossover rate. MPDE algorithm is tested using the 13-, 40–4, 80–, and 140– unit test systems. The MPDE algorithm is deemed more accurate and robust than other intelligent algorithms, with statistical evidence that it can offer adequate global optimization solutions. The MPDE algorithm is a suitable solution for solving the valve point effects of the ED problem. This conclusion suggests that it is an effective tool.

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